



JADE SELF-REVIEW DECEMBER 2009
AND USER GUIDE
DECEMBER 2009



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1 EXECUTIVE SUMMARY

1.1 REPORTING

REQUIREMENT	TARGET	ACTUAL	MET
Number of ICP's and switch notices [Rule 84.1]	09:00 6 th business day	01Jan10 00:08	Yes
Participant compliance with Rules [Rule 84.2]	16:00 15 th business day	01Jan10 00:08	Yes
ICP details per Retailer [Rule 85.1]	09:00 1 st business day	01Jan10 00:08	Yes
ICP details per Distributor [Rule 86]	09:00 1 st business day	01Jan10 00:08	Yes
ICP details per Meter Owner [Rule 87]	09:00 1 st business day	01Jan10 00:08	Yes
Registry Operator Compliance [Rule 19]	Within 10 business days of month end	05Jan10 17:00	Yes

1.1.1 SELF REVIEW

No compliance failures were detected during the period.

All obligations under the Rules, the Service Provider Agreement, and Agreed Performance Standards, were met by the Registry Operator.

Service Levels and Performance Standards were met.

1.2 AVAILABILITY

REQUIREMENT	TARGET	ACTUAL	MET
Extended Business Hours Availability [07:00 – 19:30]	99.5%	100%	Yes
All Other Hours Availability	98.0%	100%	Yes
Unplanned Outages	4	0	Yes
Planned Outages	1	0	Yes
Planned Outage Duration	2 hours	N/A	Yes
Planned Outages Approved by Gas Industry Co.	Yes	N/A	Yes
Planned Outages Scheduled Outside Extended Business Hours	Yes	N/A	Yes

1.3 PERFORMANCE

Performance requirements were documented in the Test Plan – System Performance version 1.2 of November 2008, and agreed by GIC as meeting the requirements of the Registry Operator Service Provider Agreement, schedule 4.3.

REQUIREMENT	TARGET	ACTUAL	MET
Internal Response Time for ICP-based query. % of WebViewICPDetails to complete within 1sec:	95%	100%	Yes
End User Response Time for address-based query. % of WebAddressSearch to complete within 5sec:	95%	99.5%	Yes
On Demand Report Delivery Maximum Report run duration less than	24 hours	15 minutes	Yes
Standard Month End Report files completed	09:00 1 st business day	01Jan10 00:08	Yes

*The performance tests indicated 100ms should be added to the internal response time to arrive at the end-user response time. End-user response times will vary depending on user hardware and bandwidth subscription, and ISP capability.

1.4 FAULTS AND RESOLUTION

This section reports in summary form all faults and their resolution times in accordance with para 5.1.8 of the Service Provider Agreement.

DATE	REF	DETAIL	TIME TO RESOLVE
12Dec09	PAR#389	Deadlocks between 2 file DFPO and SFP0 processors	In Progress
09Dec09	PAR#390	GAN switch errors incorrectly: The validation check is not working correctly. A retailer event later than the switch should not stop the switch from happening if the retailer is still current owner.	5 days

1.5 OTHER MATTERS

This section covers issues raised by users that are external to the Registry Operators obligations, items of general interest, and matters of concern to the Registry Operator.

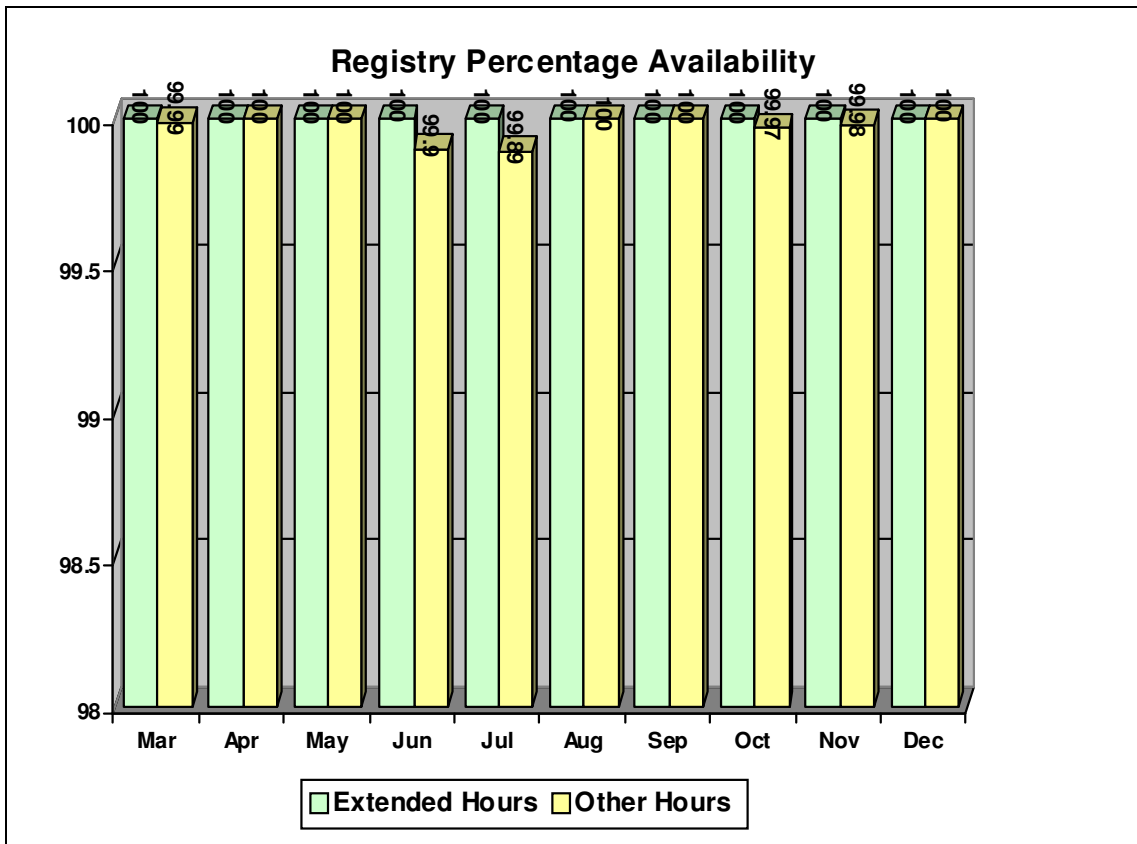
- A potential memory leak has been identified; fixed in Jade 6.3. A workaround has been implemented to ensure memory remains at acceptable levels until the Jade upgrade takes place Feb 2010.

2 PRODUCTION PERFORMANCE

2.1 AVAILABILITY

This section reports the availability of your application, the performance of key transactions, and the loading of critical elements of the computer system itself. Availability is measured against agreed service levels, and load is measured against agreed alerting thresholds.

2.1.1 HISTORY



2.2 OUTAGES

2.2.1 UNPLANNED OUTAGES

Unplanned outages are limited to 4 per month.

DATE	REF	DESCRIPTION	STATUS	DURATION
		No unplanned outages during December		
			Total	00:00

2.2.2 PLANNED OUTAGES

All planned outages must be approved in advance by GIC. Planned outages are to be limited to 1 per month with a maximum duration of 2 hours.

DATE	REF	DESCRIPTION	STATUS	DURATION
		No planned outages during December		
			Total	00:00

2.3 CHANGE CONTROL

All changes are discussed with you and approved by you prior to implementation.

2.3.1 APPLICATION UPDATES

DATE	REFERENCE	AUTHORISED BY	DETAIL
			No application updates performed by managed deployment

2.3.2 ENVIRONMENT UPDATES

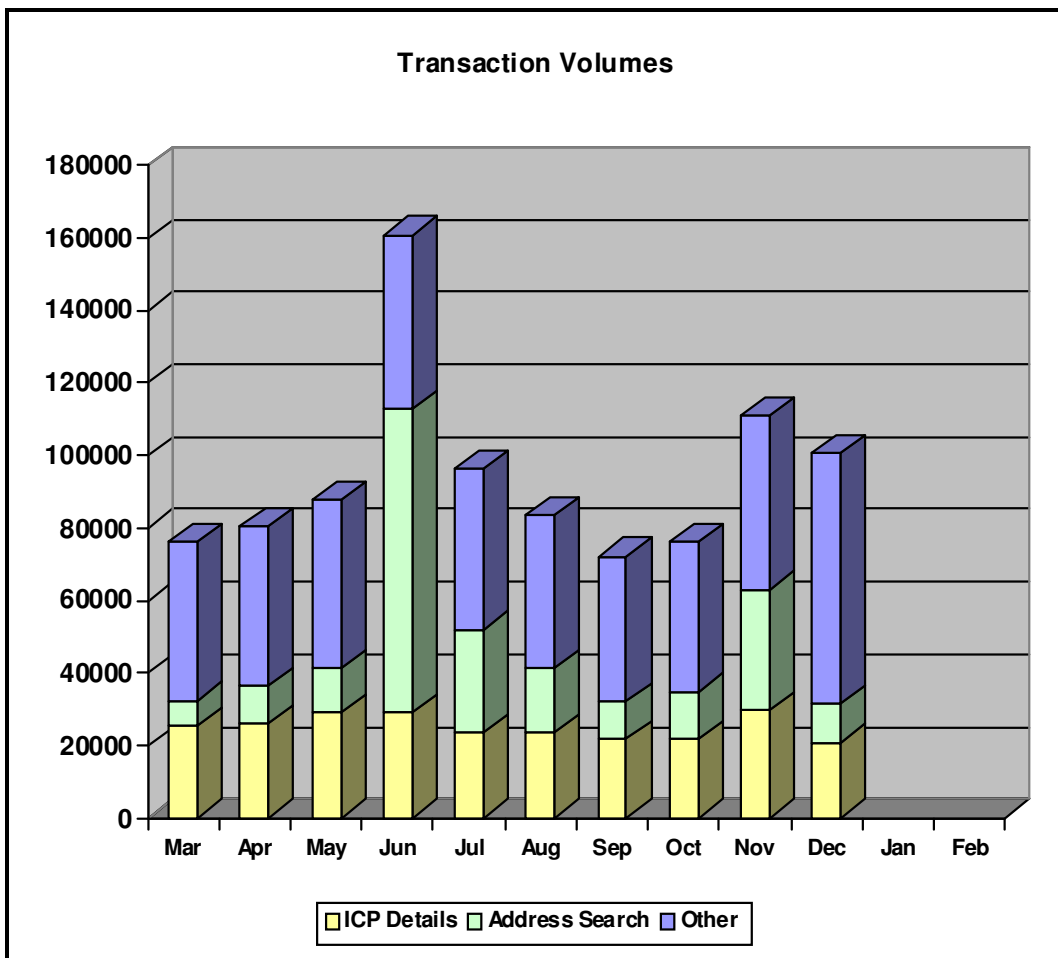
DATE	REFERENCE	AUTHORISED BY	DETAIL
			-

2.3.3 PLANNED UPDATES

DATE	REFERENCE	DETAIL
Feb 2010	TBA	Jade 6.3 upgrade

2.4 ONLINE TRANSACTION VOLUMES

	TOTAL ONLINE TRANSACTIONS	VIEW ICP DETAILS	ADDRESS SEARCH
This Month	69,091	20,957	10,389
Last Month	110,957	29,681	33,308
% Change	-38%	-30%	-69%



Note: This information is provided for performance analysis purposes ONLY. The online transactions above include the generic 'Web Display Form' so the transactions will be significantly higher than that reported via the application.

Transaction statistics are provided via the application, in accordance with functional specification.

Performance analysis statistics as above and Transaction statistics are NOT comparable. In light of this, volume-based inferences should not be drawn from these statistics without consultation.



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2.5 BATCH SCHEDULE

The batch schedule detailed below documents Jade Operations controlled processes that are run on a regular cycle and which may impact other batch process functions.

This schedule may be adjusted to suit application requirements.

DAY	TIME	TASK	DURATION
Mon-Fri			
	0215	Online back-up	30 minutes
	0500	Tape backup	30 minutes
	0600	Defrag of OS drive	30 minutes maximum
	0630	Defrag of tools drive	30 minutes maximum
	2100	Defrag of database journals drive	2 hour maximum
Fri	0200	Trend Anti-Virus full scan	
Sat	0215	Online backup	30 minutes
	0400	Defrag of database drive	3 hour maximum
	0500	Tape backup	30 minutes
	1800	Defrag of backup drive	2 hour maximum
Sun	0215	Online backup	30 minutes
	0500	Tape backup	30 minutes
	1800	Defrag of backup drive	2 hour maximum

2.6 MONTHLY TEST RESTORE OF GICPREG

The production database is restored to the disk backup staging area from tape on mid-month and a database recovery performed to the end of the journal included with the backup.

Latest Result: Recovery was completed successfully on 26Dec09 with an elapsed time of 11mins.

The objective of the test is to prove the integrity of the tape copy.

3 TEST ENVIRONMENT PERFORMANCE

Reporting for the GICUREG test environment is less comprehensive than for the production environment. Additional reporting may be requested if required.

3.1 AVAILABILITY

The MONITORED hours are those agreed with you as being the period during which problems should be resolved, and escalated where necessary.

MEASURE	GICUREG
Unscheduled Outages Only	100%

3.2 UNSCHEDULED OUTAGES

DATE	REF	DESCRIPTION	STATUS	DURATION
		No unscheduled outages during December		
			Total	00:00

3.3 SCHEDULED OUTAGES

DATE	REFERENCE	AUTHORITY	DETAIL
			No scheduled outages during December

Refresh timings require careful planning due to their duration and their potential impact on availability.

3.4 PLANNED UPDATES

DATE	REFERENCE	DETAIL
10Jan10	TBA	Jade 6.3 upgrade

4 SERVER PATCH STATUS

Application of Microsoft security patches for managed servers is undertaken by Jade Operations on advice from the Jade Security Officer.

Managed servers are built with minimal Microsoft components to reduce both OS footprint and security exposures, and thus reduce the frequency of server restarts required.

All patches are pre-tested against the standard JADE Care tools and OS configurations, and are distributed in a controlled sequence with customer production servers being the last servers in the distribution cycle.

Non-critical patches are generally held for distribution until such time as a critical patch is released, at which point all outstanding patches are applied.

The following patches are currently available for application, and should be applied at your earliest convenience. Formal change control requests will be submitted prior to implementation.

KB REF	DESCRIPTION	SERVERS	CRITICAL
	There are no critical or non-critical Microsoft Patches available for installation		

5 CONTACTS AND ESCALATION

5.1 CALL RECORDING PROCESS

The Central Systems team is the single point of contact for GIC and Participants to contact Jade.

Whenever Central Systems are contacted by GIC or Participants, or Central Systems monitor an event in the Registry, a 'Tracker Call' is created. This is used to record the information below, much of which should be supplied by the caller:

- Full description of problem, including the steps the user took to get the problem
- Screen or Form names involved
- Error Message number and content
- Screen snapshot
- Time the problem occurred
- Priority
- Any outage Information
- Subsequent actions and updates
- Information for root-cause analysis and end of month reporting

The priority of a Tracker Call will be decided by Central Systems at the time of call creation, or by GIC or Participants, when the call is placed. The priority can be changed at any time by GIC or participants, or by Central Systems. Priority A calls will only be changed by mutual agreement.

The classification of calls has no bearing on any formal service level assurance availability targets documented in the Jade Care24 agreement. I.e. an incorrect priority allocation by either party does not relieve Jade Operations its continuity of service obligations.

CALL PRIORITY	CLASSIFICATION	TARGET TIME TO RESPOND	TARGET TIME TO REPAIR
A	All Registry operations are unable to be carried out and there is no workaround	< 1 hour	< 4 hours
B	Registry operations are impacted, but there is a short term workaround.	< 2 business days	< 5 business days
C	Registry operations are not impacted, including : Cosmetic and formatting changes to the Registry General queries about Registry features or operations	< 2 business days	< 20 business days



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5.2 JADE OPERATIONS CONTACT INFORMATION

Central Systems	
Role	Central Systems is the single point of contact for JADE Operations. Central Systems will co-ordinate the involvement of the necessary staff from elsewhere in the JADE organisation.
EMAIL	Cnwcs1@jadeworld.com or csystems@jadeworld.com
Phone	0800 65 22 66 or +64 3 359 9220 or +64 21 225 8122 Skype JadeCare24
Fax	+64 3 358 7156
Address Christchurch Data Centre	JADE Software Corporation 21 Sheffield Crescent P.O. Box 20159 Christchurch, New Zealand

Client Services Representative	
Role	Joel Langley
Role	The CSR provides a more formal channel of communications between the two organisations. The CSR is responsible for scheduling non-routine activities with the customer, acts as the primary point of fault escalation and also produces the monthly reporting.
EMAIL	jlangle@jadeworld.com
Phone	+64 3 367 8318

Business Manager	
Role	Alison Hitchcock
Role	The Business Manager takes overall responsibility for the customer relationship with Jade.
EMAIL	ahitchcock@jadeworld.com
Phone	+64 9 573 7184

5.3 CUSTOMER CONTACT INFORMATION

Contact 1	Andrew Walker, Advisor
Email	Andrew.Walker@gasindustry.co.nz
Office	+64 4 472 1800
DDI	+64 4 494 6587
Mobile	+64 21 053 6767

Contact 2	Ian Dempster, Senior Advisor Wholesale Markets
Email	Ian.Dempster@gasindustry.co.nz
Office	+64 4 472 1800
DDI	+64 4 494 2467
Mobile	+64 27 540 5065

Contact 3	Peter Davies, Corporate Services Manager
Email	Peter.Davies@gasindustry.co.nz
Office	+64 4 472 1800
DDI	+64 4 494 2461
Mobile	+64 27 450 9941

5.4 AUTOMATED FAULT ESCALATION PROFILES

The automated escalation profiles are drive from the call tracking system (Tracker) and are based on fault activity (open, update, close), and time (on activity, interval since activity, and repeat interval since activity).

5.4.1 REGISTRY PRIORITY A FAULTS

WHEN	TIME DELAY	CONTACT	TYPE	REPEAT INTERVAL
On Open			Email	
		Jade On Call Developer	Page	
	00:05	Jade Client Services Representative	Page	
	00:10	Jade Central Systems Manager	Page	01:00
		Jade Service Delivery manager	Page	01:00
		Jade Development Manager	Page	01:00
	01:15	Jade Operations General Manager	Page	
	02:00	Jade Delivery Manager	Page	
	03:00	Jade Head of Delivery	Page	
	04:00	Jade CEO	Email	
On Update			Email	
On Close			Email	
		Jade On Call developer		

6 AGREED PROCEDURES

6.1 CHANGE CONTROL

All significant change elements will be notified to GIC by creation of a Tracker call. Changes will only be made on approval of the request, EXCEPT where Jade Operations consider security is under significant threat, in which case the change activity will be notified with as much advance notice as is possible.

6.2 APPLICATION RESTARTS

Jade operations staff will restart environments and servers as requested, provided such requests are evidenced by email, and originate from known users, or the generic registry@gasindustry.co.nz,

Verbal requests will not be permitted without authorisation from the Jade 1st Line Support manager, or the Jade CSR.

6.3 TEST SYSTEM REFRESH

Where the test system is to be refreshed from the production system, a request must be emailed to the Registry Helpdesk at least 48 hours in advance of the time at which the refreshed test system is to be available for users.

Requests will generally be actioned overnight using the latest available backup as the source. Failures in the refresh process will be investigated and resolved next business day.

6.4 PERSONNEL AND CONTACT DETAILS

GIC must advise Jade Operations of changes in personnel and contacts details where individuals are named as direct contacts. Fault escalations are pre-defined and automated to individual email addresses and SMS capable devices. Leave coverage and personnel changes must be advised for these functions to be effective.

6.5 TAPE RETENTION AND STORAGE

The latest tape is moved to the offsite store each day. Two generations of tape are held at the offsite store and the remainder of the cycle (10) are moved back to the on-site store.

Test restores of production tape backups are conducted monthly.

6.6 ANTI-VIRUS PRODUCT

Jade operations install and manage anti-virus product on all managed servers. Where non-managed servers are hosted in the same data centre as managed servers, and the identical anti-virus product is installed on managed and non-managed servers, Jade will make engine and pattern file updates available from the managed servers.

6.7 SYSTEMS MANAGEMENT REPORT

This systems management report is to be provided by email to Andrew Walker, copy Ian Dempster.

Target date for delivery is the 5th working day of the month following the calendar month to which the report relates.

6.8 INCIDENT REPORTS

An incident report will be prepared for breach of Service Provider obligations and will be emailed to Andrew Walker within 72 hours of any outage.

6.9 JADE DIRECT RECOMMENDATIONS

Where Jade Direct have recommendations for changes to hardware or the operating environment, these recommendations will be submitted to GIC. Implementation of such recommendations will be formalised through the change control process, and documented through the systems management report in summary form.

7 DISASTER RECOVERY PROCEDURES

Registry restoration has a target time of 12 hours from the point at which DR process is authorised, and must be restored within 36 hours following a major disaster.

7.1 AUTHORISATION TO INVOKE DR PROCESS

7.1.1 JADE SEEK AUTHORISATION FROM GIC

In the case of an adverse event affecting the Registry system's availability, Jade will seek authorisation from one of the following GIC contacts as to whether the DR Provisions should be invoked.

CONTACT	DR AUTHORISED GAS INDUSTRY COMPANY STAFF	
1	Andrew Walker	Advisor
2	Ian Dempster	Senior Adviser – Wholesale Markets
3	Peter Davies	Corporate Services Manager

7.1.2 ALTERNATIVE AUTHORISATION

Should the GIC contacts above not be available, GIC have authorised Jade to make the decision to invoke the disaster recovery procedures. In this situation, Jade will obtain internal authorisation to switch to the secondary system from one of the following Jade staff.


CONTACT	DR AUTHORISED JADE STAFF	
1	Alison Hitchcock	Business Manager
2	Joel Langley	Customer Service Representative
3	Stephen Black	Service Delivery Manager
4	David Blair	General Manager Operations

7.2 JADE ADVISES GIC DR HAS BEEN INVOKED

Once the decision to invoke disaster recovery has been made, Jade will email the 'gasregistrydrcontacts' email group (GIC staff only), plus the GIC contacts listed above, stating that the GIC disaster recovery provisions are about to be invoked.

7.3 JADE ADVISES REGISTRY PARTICIPANTS DR HAS BEEN INVOKED

Jade will email the Gas Registry outage notifications list (contactsgasregistry email group) to advise that the disaster recovery provisions have been invoked. The email will state:



"The Gas Registry System has encountered a serious issue and all processing will be transferred to the disaster recovery system.

Please do NOT attempt to access the system until advised."

7.4 JADE INVOKES DISASTER RECOVERY

On receiving authorisation to invoke the disaster recovery provisions, Jade will proceed with the technical process of switching to the secondary system.

7.4.1 DNS UPDATES

Authorisation to proceed with DR, whether obtained from authorised GIC staff, or authorised Jade staff, grants authorisation for Jade to instruct Domainz to alter the ip address associated with the DNS entry <https://www.gasregistry.co.nz>.

The change may be made through an internet session using credentials documented in the Jade Operations (jadeutil) procedures.

7.5 JADE CONFIRM DR SYSTEM IS READY FOR CHECKING

Once processing has been switched to the secondary system, Jade will perform checks per the Registry Administrator checklist to ensure the disaster recovery system is operating as expected.

Jade will then email the 'gasregistrydrcontacts' email group (GIC staff only), plus the other contacts above (see step 1), stating that the disaster recovery system is live and ready for testing by GIC.

7.6 GIC CHECK THE STATUS OF THE DR SYSTEM

As long as the communications network and disaster recovery infrastructure were operating normally at the time of the disaster, the disaster recovery system will be very close to being up to date with the primary system at the time of failure. Only transactions that were 'still in progress' will not have been replicated. GIC must confirm that this is the case.

1. A GIC system administrator confirms that they are able to access the disaster recovery system via the application server.
2. A GIC system administrator confirms that they are able to access the system via the web site.
3. A GIC system administrator conducts a general check on recent data in the system to confirm that it is as up to date as expected.
4. The GIC contact confirms to Jade that the Registry system is in the expected state and requests Jade to advise all participants that the system is available.
5. If no confirmation is received from Gas Industry Company within 3 hours of the notification described in step 6, Jade is authorised to proceed to the following step.

7.7 PARTICIPANTS ADVISED TO PERFORM POST-TAKEOVER TESTS AND REPROCESSING

Jade will email the 'contactsgasregistry' email group to advise that the disaster recovery system is now available. The email will be as follows.

"The Gas Registry System is now available. Please complete the following checks to determine if any recent registry transactions need to be reinitiated:

- 1. Online Updates – Logon to the Registry system and check back through the most recent online changes made to the system to determine any online updates that may need to be re-entered.***
- 2. FTP Updates – Logon to the Registry system and perform a 'File Search' to check the status of any recent input files sent to the registry. Re-submit any files that are not recorded as successfully processed. (Output files resulting from re-submitted input files may contain some duplication errors for files that had been partially processed at the time of the disaster event).***
- 3. Notifications – Logon to the Registry system and request a resend of all update and switch notifications for that day.***
- 4. Reports – Logon to the Registry system and re-submit any report requests for reports not yet received."***



7.8 REINSTATEMENT OF NORMAL PRODUCTION

The specific cause of the disaster will determine the actions and time involved to reinstate the production system. GIC and Jade will commence discussions on this as soon as practical.

When a suitable hardware platform has been established at the primary site, the production database will be copied to the primary site, and the database synchronisation process re-established.

When the databases have synchronised, production will be transferred to the primary site at a time to be agreed with GIC. DNS updates will be actioned by Jade.